

## IRB SOP 503

### Exempt Research Limited IRB Review

#### Purpose

The purpose of this policy is to describe the criteria and process of review for all proposed Exempt research requiring limited review, as described in the revised Common Rule implemented January 21, 2019. The provision for limited IRB review allows certain research categories as exempt, even when the identifiable information might be sensitive or potentially harmful if disclosed. In order to qualify for exemption, the study must meet the standards of the limited IRB review.

#### Definitions

**Exempt Category 2:** Research that only includes interactions involving educational tests (cognitive, diagnostic, aptitude, achievement), survey procedures, interview procedures, or observation of public behavior (including visual or auditory recording): 2(iii)- The information obtained is recorded by the investigator in such a manner that the identity of the human subjects can readily be ascertained, directly or through identifiers linked to the subjects.

**Exempt Category 3:** Research involving benign behavioral interventions in conjunction with the collection of information from an adult subject through verbal or written responses (including data entry) or audiovisual recording if the subject prospectively agrees to the intervention and information collection: 3(iii)- If the research involves deceiving the subjects regarding the nature or purposes of the research, this exemption is not applicable unless the subject authorizes the deception through a prospective agreement to participate in research in



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